

# **KEMSA**

KENYA MEDICAL SUPPLIES AUTHORITY



YOUR PARTNER IN HEALTHCARE

**OPEN NATIONAL TENDER (ONT)**

**TENDER DOCUMENT**

**FOR**

**REGISTRATION OF SUPPLIERS FOR GOODS FOR THE YEAR 2022 /2024**

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**INVITATION FOR REGISTRATION NUMBER:**

**KEMSA-PREQ-A-2022/2024**

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**TENDER CLOSING DATE: 24<sup>TH</sup> NOVEMBER, 2022**

**TIME: 10:00AM**

<b>Contents</b>	
<b>REGISTRATION FORM</b> .....	<b>5</b>
<b>REGISTRATION DOCUMENT FOR GOODS</b> .....	<b>6</b>
<b>INVITATION TO APPLY FOR REGISTRATION</b> .....	<b>7</b>
<b>PART 1 - APPLICATION PROCEDURES</b> .....	<b>9</b>
<b>SECTION I - INSTRUCTIONS TO APPLICANTS (ITA)</b> .....	<b>10</b>
<b>A. GENERAL</b> .....	<b>10</b>
1. Scope of Application.....	10
2. Source of Funds.....	10
3. Fraud and Corruption .....	10
4. Collusive practices.....	10
5. Eligible Applicants.....	10
6. Eligibility.....	12
<b>B. CONTENTS OF THE REGISTRATION DOCUMENTS</b> .....	<b>12</b>
7. Sections of Registration Document.....	12
8. Clarification of Registration Documents and Pre- bid meeting .....	13
9. Amendment of Registration Document .....	14
<b>C. PREPARATION OF APPLICATIONS</b> .....	<b>14</b>
10. Cost of Applications.....	14
11. Language of Application .....	14
12. Documents Comprising the Application .....	14
13. Application Submission Letter .....	15
14. Documents Establishing the Eligibility of the Applicant.....	15
15. Documents Establishing the Qualifications of the Applicant .....	15
16. Signing of the Application and Number of Copies .....	16
<b>D. SUBMISSION OF APPLICATIONS</b> .....	<b>17</b>
17. Sealing and Marking of Applications .....	17
18. Deadline for Submission of Applications .....	17
19. Late Applications .....	17
20. Opening of Applications.....	17
<b>E. Procedures for Evaluation of Applications</b> .....	<b>17</b>
21. Confidentiality.....	17
22. Clarification of Applications .....	18
23. Responsiveness of Applications .....	18
24. Margin of Preference.....	18

25.	Nominated Suppliers.....	18
F.	Evaluation of Applications and Registration of Applicants .....	18
26.	Evaluation of Applications .....	18
27.	Procuring Entity's Right to Accept or Reject Applications.....	19
28.	Registration of Applicants .....	19
29.	Invitation to Tender .....	19
30.	Changes in Qualifications of Applicants .....	19
31.	Procurement Related Complaints and Administrative Review .....	20
	SECTION II - REGISTRATION DATA SHEET (PDS).....	20
	SECTION III - QUALIFICATION CRITERIA AND REQUIREMENTS .....	22
	EVALUATION CRITERIA FOR SUPPLY OF GOODS .....	23
A.	PRELIMINARY EVALUATION.....	23
B.	TECHNICAL EVALUATION .....	23
C.	POST QUALIFICATION .....	24
➤	This will be conducted for successful suppliers.....	24
	SECTION IV- APPLICATION FORMS.....	37
1.	Application Submission Letter .....	37
2.	Form ELI -1.1 - Applicant Information Form.....	39
3.	Form ELI-1.2 - Applicant's JV Information Form .....	40
4.	Form CON 2 - Historical Contract Non-Performance, and Pending Litigation and Litigation History ....	41
5.	Form FIN - 3.1 - Financial Situation and Performance.....	43
5.1	Financial Data.....	43
5.2	Sources of Finance .....	44
5.3	Financial documents .....	44
6.	Form FIN - 3.2 - Average Annual Construction or Supply Contracts Turnover .....	45
7.	Form EXP - 4.1 - General Construction or Supply or service Contract Experience (Select one) .....	47
8.	Form EXP - 4.2(a) - Specific Construction and Contract Management Experience or Supply or service Contract Experience (Select one).....	48
9.	Form EXP - 4.2(a) (cont.) - Specific Construction and/or Contract Management Experience (cont.) .....	49
10.	Form EXP - 4.2(b) - Construction Experience or Supply or service contract in Key Activities (select one) 50	
	PART 2 - GOODS REQUIREMENTS.....	53
	SECTION V -SCHEDULE OF REQUIREMENTS FOR GOODS-N/A.....	53
1.	Description of the Goods.....	53
2.	Goods Supply Period.....	53

3. Other Data.....	53
REQUEST FOR REVIEW.....	54

KEMSA

## REGISTRATION FORM

Category	Item Description	Eligibility	Mark "X" as Appropriate (to be considered for registration)
KEMSA-PREQ-A-001- 2022/2024	Supply of Office Furniture, Fittings and Equipments	Open	
KEMSA-PREQ-A-002- 2022/2024	Supply of ICT Equipment and Consumables (Printers, Scanners & Toners)	Open	
KEMSA-PREQ-A-003- 2022/2024	Supply of Motor Vehicle Tyres	Open	

Name of the firm:.....

Postal Address:.....

Telephone Contacts:.....

Company email address:.....

KRA Pin No: :.....

Contact Person:.....

Applicants are required to choose the category to be considered and provide their contact details above.

Once completed please submit this form to the email address below;  
[procure@kemsaco.ke](mailto:procure@kemsaco.ke)

## REGISTRATION DOCUMENT FOR GOODS

Invitation for Registration No.: \_\_\_\_\_

Registration Name: \_\_\_\_\_ *[insert name of Registration]*

Procuring Entity or Procuring Entity: \_\_\_\_\_ *[insert the name of the  
Procuring Entity's agency]*

Issued on: \_\_\_\_\_ *[insert date  
when Registration Document was issued to Applicants]*

(This Registration Document is for use with a Standard Tender Document inviting for tenders)

## INVITATION TO APPLY FOR REGISTRATION

### REGISTRATION OF SUPPLIERS FOR GOODS FOR THE YEAR 2022/2024.

Registration Reference No.: **KEMSA-PREQ-A-2022/2024**

1. The **Kenya Medical Supplies Authority (KEMSA)** intends to register Providers for **registration of Goods for the Year 2022/2024**.
2. It is expected that the Invitation to Tender will be made in **1<sup>ST</sup> NOVEMBER 2022**. Tendering will be conducted through (National Tender) procedures using a standardized tender document and will be open to all applicants who register.
3. Qualified and interested applicants may obtain further information and inspect the Registration Document during office hours of 8.00 a.m. to 5.00 p.m. EAT from the Procurement Office.

Kenya Medical Supplies Authority,  
13 Commercial Street, Industrial Area  
P.O Box 47715-00100, Nairobi  
Tel No: +254 719033000/ +254 726618520/1  
**Email: [info@kemsaco.ke](mailto:info@kemsaco.ke)**

4. Registration Document may be viewed and downloaded for free from the Kemsaco website [www.kemsaco.ke](http://www.kemsaco.ke) and PPIP Portal: [tenders.go.ke](http://tenders.go.ke)
5. Applications in plain sealed envelopes clearly marked "Registration of Suppliers 2022/2024" indicating the Reference Code No. and Item Description that the applicant wishes to be registered for should be addressed and delivered to:

The Chief Executive Officer  
Kenya Medical Supplies Authority  
13 Commercial Street, Industrial Area  
P.O B Box 47715-00100  
Nairobi, Kenya.

6. The bid documents must be deposited in the **Tender Box No. 1 marked GoK/World Bank** at the reception on the Ground floor KEMSA's Commercial Street Office in Nairobi on or before **24<sup>TH</sup> NOVEMBER, 2022**

7. Bulky tenders can be handed over to KEMSA Procurement Director's office for registration and safekeeping till the tender opening date.
8. Bids will be opened promptly in public and in the presence of Bidders' and or representatives who choose to attend the opening of bids at KEMSA's tender opening Hall on the dates indicated against each tender.
9. Late bids, portion of bids, Electronic bids shall NOT be accepted for evaluation irrespective of circumstances.
10. Bids will be opened promptly in public and in the presence of Bidders' and or representatives who choose to attend the opening of bids at KEMSA's tender opening Hall on the dates indicated against each tender.
11. Late bids, portion of bids, Electronic bids shall NOT be accepted for evaluation irrespective of circumstances.

**Note: This is a tender for registration of suppliers and NOT for supply of goods.**



**PART 1 - APPLICATION PROCEDURES**

## SECTION I - INSTRUCTIONS TO APPLICANTS (ITA)

### A. GENERAL

#### 1. **Scope of Application**

- 1.1 The name of the Procuring Entity inviting for applications is defined in the PDS. The particular type of contract (Goods required) and its name, description and its reference number are defined in the PDS.

2. **Source of Funds** to be specified in the PDS, if deemed necessary.

#### 3. **Fraud and Corruption**

- 3.1 The Government of Kenya requires compliance with its Anti-Corruption laws and its prevailing sanctions policies and procedures.
- 3.2 In further pursuance of this policy, Applicants shall permit and shall cause their agents (where declared or not) suppliers, and their personnel, to permit the Public Procurement Regulatory Authority (PPRA) to inspect all accounts, records and other documents relating to any initial selection process, Registration process, tender submission (incase registered).

#### 4. **Collusive practices**

- 4.1 The Procuring Entity requires compliance with the provisions of the Competition Act 2010, regarding collusive practices in contracting. Any applicant found to have engaged in collusive conduct shall be disqualified and criminal and/or civil sanctions may be imposed.

#### 5. **Eligible Applicants**

- 5.1 Applicants shall meet the eligibility criteria as per this ITA and ITA 5.1 and 5.2. An Applicant may be a firm that is a private entity, a state-owned enterprise or institution subject to ITA 5.9 or any combination of such entities in the form of a joint venture ("JV") under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent. The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the Registration process. Members of a joint venture may not also make an individual registration tender, be a supplier in a separate registration tender or be part of another joint venture for the purposes of the same registration Tender. The maximum number of JV members shall be specified in the PDS.

- 5.2 Public Officers of the Procuring Entity, their Spouses, Child, Parent, Brothers or Sister. Child, Parent, Brother or Sister of a Spouse, their business associates or agents and firms/organizations in which they have a substantial or controlling interest shall not be eligible to be registered. Public Officers with such relatives are also not allowed to participate in any procurement proceedings.
- 5.3 A firm may apply for Registration both individually, and as part of a joint venture, or participate as a supplier. If registered, it will not be permitted to tender for the same contract both as an individual firm and as a part of the joint venture or as a supplier. However, a firm may participate as a supplier in more than one Tender, but only in that capacity. Tenders submitted in violation of this procedure will be rejected.
- 5.4 A firm and any of its affiliates (that directly or indirectly control, are controlled by or are under common control with that firm) may submit its application for Registration either individually, as joint venture or as a supplier among them for the same contract. However, if registered, only one registered Applicant will be allowed to tender. All Tenders submitted in violation of this procedure will be rejected.
- 5.5 An Applicant may have the nationality of any country, subject to the restrictions pursuant to ITA 5.1 and 5.2. An Applicant shall be deemed to have the nationality of a country if the Applicant is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be.
- 5.6 Applicants shall not have a conflict of interest. Applicants shall be considered to have a conflict of interest, if they, or any of their affiliates, participated as a consultant in the preparation of the design or technical specifications or have been hired or proposed to be hired by the Procuring Entity that are the subject of this registration. In addition, Applicants may be considered to have a conflict of interest if they have a close business or family relationship with a professional staff of the Procuring Entity who:
- a) are directly or indirectly involved in the preparation of the Registration Document specifications of the Contract, and/or the registration evaluation or
  - b) Would be involved in the implementation or supervision of such Contract, unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Procuring Entity throughout the registration.
- 5.7 An Applicant that has been debarred shall be ineligible to be initially selected for registered during such period of time as the PPRA shall have determined. The list of debarred firms and individuals is available at [www.ppra.go.ke](http://www.ppra.go.ke)
- 5.8 Applicants that are state-owned enterprise or institutions in Kenya may be eligible to register only if they can establish in a manner acceptable to the Procuring Entity, that

they (i) are legally and financially autonomous (ii) operate under commercial law, and (iii) are not under supervision of any public entity.

- 5.9 An Applicant shall not be under sanction of debarment from Tendering by the PPRA as the result of the execution of a Tender/Proposal-Securing Declaration.
- 5.10 An Applicant that is a Kenyan firm or citizen shall provide evidence of having fulfilled his/her tax obligations by producing a current tax clearance certificate or tax exemption certificate issued by the Kenya Revenue Authority.
- 5.11 An Applicant shall provide any other such documentary evidence of eligibility satisfactory to the Procuring Entity, as the Procuring Entity shall reasonably request.

## **6. Eligibility**

- 6.1 Firms and individuals may be ineligible if they are nationals of ineligible countries as indicated herein. The countries, persons or entities are in eligible if:
- a) As a matter of law or official regulations, Kenya prohibits commercial relations with that country, or
  - b) By an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, Kenya prohibits any import of Goods from that country, or any payments to any country, person, or entity in that country.
- 6.2 When supply of Laboratory are implemented a cross jurisdictional boundary (and more than one country is a Procuring Entity, and is involved in the procurement), then exclusion of a firm or individual on the basis of ITA 5.1 above by any country may be applied to that procurement a cross other countries involved, if the Procuring Entities involved in the procurement so agree.
- 6.3 Any goods production processes with characteristics that have been declared by the relevant national environmental protection agency or by other competent authority as harmful to human beings and to the environment shall not be eligible for procurement.

## **B. CONTENTS OF THE REGISTRATION DOCUMENTS**

### **7. Sections of Registration Document**

- 7.1 This Registration Document consists of parts 1 and 2 which comprise all the sections indicated below, and which should be read in conjunction with any Addendum issued in accordance with IT A8.

#### **PART 1 - Registration Procedures**

- i) Section I- Instructions to Applicants (ITA)
- ii) Section II - Registration Data Sheet (PDS)

- iii) Section III - Qualification Criteria and Requirements
- iv) Section IV- Application Forms

## **PART 2 - Goods Requirements**

- i) Section V- Schedule of requirement for Goods

- 7.2 Unless obtained directly from the Procuring Entity, the Procuring Entity accepts no responsibility for the completeness of the document, responses to requests for clarification, the minutes of the pre- bid meeting (if any), or Addenda to the Registration Document in accordance with ITA 8. In case of any discrepancies, documents issued directly by the Procuring Entity shall prevail.
- 7.3 The Applicant is expected to examine all instructions, forms, and terms in the Registration Document and to furnish with its Application all information or documentation as is required by the Registration Document.

## **8. Clarification of Registration Documents and Pre- bid meeting**

- 8.1 An Applicant requiring any clarification of the Registration Document shall contact the Procuring Entity in writing at the Procuring Entity's address indicated in the PDS. The Procuring Entity will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Procuring Entity shall forward a copy of its response to all prospective Applicants who have obtained the Registration Document directly from the Procuring Entity, including a description of the inquiry but without identifying its source. If so indicated in the PDS, the Procuring Entity shall also promptly publish its response at the webpage identified in the PDS. Should the Procuring Entity deem it necessary to amend the Registration Document as a result of a clarification, it shall do so following the procedure under ITA 8. And in accordance with the provisions of ITA 17.2.
- 8.2 The Applicant, at the Applicant's own responsibility and risk, is encouraged to obtain all information that may be necessary for preparing the application. The Procuring Entity shall specify in the PDS if a pre-bid meeting will be held, when and where. The Applicant's designated representative is invited to attend a pre- bid meeting. The purpose of the meetings will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 8.3 The Applicant is requested to submit any questions in writing, to reach the Procuring Entity not later than the period specified in the PDS before the submission date of applications.
- 8.4 Minutes of the pre- bid meeting, if applicable, including the text of the questions asked by Applicants and the responses given, together with any responses prepared after the meeting, will be transmitted promptly to all Applicants who have acquired the Registration documents. Minutes shall not identify the source of the questions asked.
- 8.5 The Procuring Entity shall also promptly publish anonymized (no names) Minutes of the pre-bid meeting at the web page identified in the PDS. Any modification to the Registration Documents that may become necessary as a result of the pre- bid meeting shall be made by the Procuring Entity exclusively through the issue of an Addendum pursuant to PDS 8 and not through the minutes of the pre- bid meeting. Non-attendance at the pre-bid meeting

will not be a cause for disqualification of a Tenderer.

## **9. Amendment of Registration Document**

- 9.1 At any time prior to the deadline for submission of Applications, the Procuring Entity may amend the Registration Document by issuing an Addendum.
- 9.2 Any Addendum issued shall be part of the Registration Document and shall be communicated in writing to all Applicants who have obtained the Registration Document from the Procuring Entity. The Procuring Entity shall promptly publish the Addendum at the Procuring Entity's webpage identified in the PDS.
- 9.3 To give Applicants reasonable time to take an Addendum into account in preparing their Applications, the Procuring Entity may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 17.2.

## **C. PREPARATION OF APPLICATIONS**

### **10. Cost of Applications**

- 10.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Procuring Entity will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the Registration process.

### **11. Language of Application**

- 11.1 The Application as well as all correspondence and documents relating to the Registration exchanged by the Applicant and the Procuring Entity, shall be written in English Language. Supporting documents and printed literature that are part of the Application may be in another language, provided they are accompanied by an accurate translation of the relevant passages in the English language, in which case, for purposes of interpretation of the Application, the translation shall govern.

### **12. Documents Comprising the Application**

- 12.1 The Application shall comprise the following:
  - a) Application Submission Letter, in accordance with ITA 13.1;
  - b) Eligibility: documentary evidence establishing the Applicant's eligibility, in accordance with ITA 14.1;
  - c) Qualifications: documentary evidence establishing the Applicant's qualifications, in accordance with ITA 15; and
  - d) Any other document required as specified in the PDS.
- 12.2 The Applicant shall furnish information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Application.

### **13. Application Submission Letter**

- 13.1 The Applicant shall complete an Application Submission Letter as provided in Section IV (Application Forms). This Letter must be completed without any alteration to its format.

### **14. Documents Establishing the Eligibility of the Applicant**

- 14.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Letter and Forms ELI (eligibility) 1.1 and 1.2, included in Section IV (Application Forms).

### **15. Documents Establishing the Qualifications of the Applicant**

- 15.1 To establish its qualifications to perform the contract(s) in accordance with Section III, Qualification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Information Sheets included in Section IV (Application Forms).
- 15.2 Wherever an Application Form requires an Applicant to state a monetary amount, Applicants should indicate the Kenya Shilling equivalent using the rate of exchange determined as follows:
- a) For construction turnover or financial data required for each Year-Exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year is to be converted).
  - b) Value of single Contract-Exchange rate prevailing on the date of the contract.
- 15.3 Exchange rates shall be taken from the publicly available source identified in the PDS. Any error in determining the exchange rates in the Application may be corrected by the Procuring Entity.
- 15.4 Applicants shall be asked to provide, as part of the data for qualification, such information, including details of ownership, as shall be required to determine whether, according to the classification established by the Procuring Entity, a particular supplier or group of suppliers qualifies for a margin of preference. Further the information will enable the Procuring Entity identify any actual or potential conflict of interest in relation to the procurement and/or contract management processes, or a possibility of collusion between Applicants, and thereby help to prevent any corrupt influence in relation to the procurement processor contract management.
- 15.5 The purpose of the information described in ITT 6.2 above overrides any claims to confidentiality which an Applicant may have. There can be no circumstances in which it would be justified for an Applicant to keep information relating to its ownership and control confidential where it is tendering to undertake public sector work and receive public sector funds. Thus, confidentiality will not be accepted by the Procuring Entity as a justification for an Applicant's failure to disclose, or failure to provide required information on its ownership and control.
- 15.6 If Applicant shall provide further documentary proof, information or authorizations that the Procuring Entity may request in relation to ownership and control which information on any changes to the information which was provided by the Applicant under ITT 6.3. The obligations to require this information shall continue for the duration of the procurement

process and contract performance and after completion of the contract, if any change to the information previously provided may reveal a conflict of interest in relation to the award or management of the contract.

- 15.7 If information provided by the Applicant pursuant to these requirements must be complete, current and accurate as at the date of provision to the Procuring Entity. In submitting the information required pursuant to these requirements, the Applicant shall warrant that the information submitted is complete, current and accurate as at the date of submission to the Procuring Entity.
- 15.8 If an Applicant fails to submit the information required by these requirements, its application will be rejected. Similarly, if the Procuring Entity is unable, after taking reasonable steps, to verify to a reasonable degree the information submitted by an Applicant pursuant to these requirements, then the application will be rejected.
- 15.9 If information submitted by an Applicant pursuant to these requirements, or obtained by the Procuring Entity (whether through its own enquiries, through notification by the public or otherwise), shows any conflict of interest which could materially and improperly benefit the Applicant in relation to the procurement or contract management process, then:
- 15.10 If the procurement process is still ongoing, the Applicant will be disqualified from the procurement process,
  - a) If the contract has been awarded to that Applicant, the contract award will be set aside,
  - b) The Applicant will be referred to the relevant law enforcement authorities for investigation of whether the Applicant or any other persons have committed any criminal offence.
- 15.11 If an Applicant submits information pursuant to these requirements that is incomplete, inaccurate or out-of-date, or attempts to obstruct the verification process, then the consequences will ensue unless the Applicant can show to the reasonable satisfaction of the Procuring Entity that any such act was not material, or was due to genuine error which was not attributable to the intentional act, negligence or recklessness of the Applicant.

## **16. Signing of the Application and Number of Copies**

- 16.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA11 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by an authorized representative of the JV on behalf of the JV and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized signatories.
- 16.2 The Applicant shall submit copies of the signed original Application, in the number specified in the PDS, and clearly mark them "COPY". In the event of any discrepancy between the original and the copies, the original shall prevail.



## **D. SUBMISSION OF APPLICATIONS**

### **17. Sealing and Marking of Applications**

- 17.1 The Applicant shall enclose the original and the copies of the Application in a sealed envelope that shall:
- a) Bear the name and address of the Applicant;
  - b) Be addressed to the Procuring Entity, in accordance with ITA 17.1; and
  - c) Bear the specific identification of this Registration process indicated in the PDS1.1.
- 17.2 The Procuring Entity will accept no responsibility for not processing any envelope that was not identified as required in ITA 16.1 above.

### **18. Deadline for Submission of Applications**

- 18.1 Applicants may either submit their Applications by mail or by hand. Applications shall be received by the Procuring Entity at the address and no later than the deadline indicated in the PDS.
- 18.2 The Procuring Entity may, at its discretion, extend the deadline for the submission of Applications by amending the Registration Document in accordance with ITA 8, in which case all rights and obligations of the Procuring Entity and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.

### **19. Late Applications**

- 19.1 The Procuring Entity reserves the right to accept applications received after the deadline for submission of applications, unless otherwise specified in the PDS. If late applications will be accepted, they must be received not later than the date specified in the TDS after the deadline for submission of applications.

### **20. Opening of Applications**

- 20.1 The Procuring Entity shall open all Applications at the date, time and place specified in the PDS. Late Applications shall be treated in accordance with ITA 19.1.
- 20.2 No Applications shall be submitted electronically.
- 20.3 The Procuring Entity shall prepare a record of the opening of Applications to include, as a minimum, the name of the Applicants. A copy of the record shall be distributed to all Applicants.

## **E. Procedures for Evaluation of Applications**

### **21. Confidentiality**

- 21.1 Information relating to the Applications, their evaluation and results of the Registration shall not be disclosed to Applicants or any other persons not officially concerned with the Registration process until the notification of Registration results is made to all Applicants in accordance with ITA 28.
- 21.2 From the deadline for submission of Applications to the time of notification of the results of

the Registration in accordance with ITA 28, any Applicant that wishes to contact the Procuring Entity on any matter related to the Registration process may do so only in writing.

## **22. Clarification of Applications**

- 22.1 To assist in the evaluation of Applications, the Procuring Entity may, at its discretion, ask an Applicant for a clarification (including missing documents) of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Procuring Entity and all clarifications from the Applicant shall be in writing. Not applicable.
- 22.2 If an Applicant does not provide clarifications and/or documents requested by the date and time set in the Procuring Entity's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application. Not applicable

## **23. Responsiveness of Applications**

- 23.1 The Procuring Entity may reject any Application which is not responsive to the requirements of the Registration Document.

## **24. Margin of Preference**

- 24.1 Unless otherwise specified in the PDS, a margin of preference shall not apply in the REGISTRATION process.

## **25. Nominated Suppliers**

- 25.1 Unless otherwise stated in the PDS, the Procuring Entity may allow nomination of suppliers.
- 25.2 The Procuring Entity, in ITA 25.2, may permit the Applicant to nominate suppliers. Applicants planning to use such nominees shall specify in the Application Submission Letter.

## **F. Evaluation of Applications and Registration of Applicants**

### **26. Evaluation of Applications**

- 26.1 The Procuring Entity shall use the factors, methods, criteria, and requirements defined in Section III, Qualification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used.
- 26.2 Nominated suppliers shall be fully qualified and meet the minimum evaluation criteria.
- 26.3 In case of multiple contracts, Applicants should indicate in their Applications the individual contract or combination of contracts in which they are interested. The Procuring Entity shall register each Applicant for each lot and for a combination of contracts for which the Applicant has thereby indicated its interest and for which the Applicant meets the appropriate aggregate requirements the Eligibility and Qualification Criteria. N/A

26.4 Further, in the case of multiple contracts, the Procuring Entity will prepare the Eligibility and Qualification Criteria Form for items 3.1, 3.2, 4.2(a) and 4.2(b) for each Lot, to be completed by applicants.

26.5 Only the qualifications of the Applicant shall be considered. The qualifications of other firms, including the Applicant's subsidiaries, parent entities, affiliates, subcontractors (other than Specialized Subcontractors in accordance with ITA 25.2 above) or any other firm(s) different from the Applicant shall not be considered.

## **27. Procuring Entity's Right to Accept or Reject Applications**

27.1 The Procuring Entity reserves the right to accept or reject any Application, and to annul the Registration process and reject all Applications at any time, without thereby incurring any liability to the Applicants.

## **28. Registration of Applicants**

28.1 All Applicants Whose Applications substantially meet or exceed the specified qualification requirements will be registered by the Procuring Entity. The Procuring Entity shall notify all Applicants in writing of the names of those Applicants who have been registered or conditionally registered. In addition, those Applicants who have been disqualified will be informed separately.

28.2 Applicants that have not been registered may write to the Procuring Entity to request, in writing, the grounds on which they were disqualified.

## **29. Invitation to Tender**

29.1 After the notification of the results of the registration, the Procuring Entity shall invite Tenders from all the Applicants that have been registered as and when need arise.

29.2 Applicants may be required to provide a Tender Security or a Tender-Securing Declaration acceptable to the Procuring Entity in the form and an amount to be specified in the tendering document.

29.3 The successful Applicant shall be required to provide a Performance Security as specified in the tendering document.

## **30. Changes in Qualifications of Applicants**

30.1 Any change in the structure or formation of an Applicant after being registered in accordance with ITA 27 and invited to tender (including, in the case of a JV, any change in the structure or formation of any member and also including any change in any specialized supplier whose qualifications were considered to register the Applicant) shall be subject to the written approval of the Procuring Entity prior to the deadline for submission of Tenders. Such approval shall be denied if (i) a registered applicant proposes to associate with a disqualified applicant or in case of a disqualified joint venture, any of its members; (ii) as a consequence of the change, the Applicant no longer substantially meets the qualification criteria set forth in Section III (Qualification Criteria and Requirements); or (iii) in the opinion of the Procuring Entity, the change may result in a substantial reduction in competition. Any such change should be submitted to the Procuring Entity not later than fourteen (14) days after the date of the Invitation to Tender.

### 31. Procurement Related Complaints and Administrative Review

- 31.1 The procedures for making a Procurement-related Complaint are as specified in the PDS.
- 31.2 A request for administrative review shall be made in the form provided.

## SECTION II - REGISTRATION DATA SHEET (PDS)

REFERENCE TO ITC CLAUSE	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO APPLICANTS
<b>A. GENERAL</b>	
ITA 1.1	The Procuring Entity is: <b>KENYA MEDICAL SUPPLIES AUTHORITY (KEMSA)</b>  The identification of the Invitation for registration is: <b>KEMSA-PREQ-A-2022/2024 : REGISTRATION OF SUPPLIERS FOR GOODS FOR THE YEAR 2022/2024.</b>
ITA 2	The Source of funds shall be: <b>KEMSA</b>
ITA 5.2	Maximum number of members in the JV shall be: <b>THREE (3)</b>
<b>B. CONTENTS OF THE REGISTRATION DOCUMENT</b>	
ITA 8.1	For clarification purposes, the Procuring Entity's address is: Kenya Medical Supplies Authority, 13 Commercial Street, Industrial Area P.O Box 47715-00100, Nairobi Tel No: +254 719033000/ +254 726618520/1 Email: <a href="mailto:procure@kemsa.co.ke">procure@kemsa.co.ke</a>
ITA 8.2	A pre- bid meeting will be held on <b>Thursday, 10<sup>th</sup> November, 2022 at 9:00am at the College of Insurance</b>
ITA 8.3	Questions and requests for clarification made in writing or by email shall reach the Procuring Entity not later than <b>fourteen(14) days</b> prior to the deadline for closing the tenders
ITA 8.5	Minutes of the pre-bid meeting at the KEMSA website: <a href="http://www.kemsa.co.ke">www.kemsa.co.ke</a> and PPIP Portal: <a href="http://tenders.go.ke">tenders.go.ke</a>
ITT 9.2	Addendum issued shall be published at the KEMSA website: <a href="http://www.kemsa.co.ke">www.kemsa.co.ke</a> and PPIP Portal: <a href="http://tenders.go.ke">tenders.go.ke</a>
<b>C. PREPARATION OF APPLICATIONS</b>	
ITA 12.1 (d)	The Applicant shall submit with its Application, the following additional documents: [N/A]
ITA 15.2(b)	The source for determining exchange rates is [N/A]
ITA 16.2	In addition to the original, the number of copies to be submitted with the Application is: [ONE]
<b>D. SUBMISSION OF APPLICATIONS</b>	
ITA 17.1	The deadline for Application submission is: Date: <b>24<sup>TH</sup> NOVEMBER, 2022</b> Time: <b>10:00AM</b> For Application submission purposes only, the Procuring Entity's address is: The Chief Executive Officer Kenya Medical Supplies Authority

	<p>13 Commercial Street, Industrial Area P.O B Box 47715-00100 Nairobi, Kenya</p> <p>Applicants <i>“shall not”</i> have the option of submitting their Applications electronically.</p>
ITA 18.1	The Procuring Entity reserves the right to reject late Applications.
ITA 19.1	The Procuring Entity will not accept late applications.
ITA 20.1	The opening of the Applications shall be at 13 Commercial Street ,industrial Area ,tender opening Hall at 10.00AM
ITA 20.2	<p><i>[The following provision should be included and the required corresponding information inserted only if Applicants have the option of submitting their Applications electronically. Otherwise omit.]</i></p> <p>The electronic Application opening procedures shall be:</p> <p>_____</p> <p><i>[insert a description of the electronic Application opening procedures.] N/A</i></p>
<b>E. PROCEDURES FOR EVALUATION OF APPLICATIONS</b>	
ITA 24.1	A margin of preference [ <i>“shall not”</i> ] apply.
ITA 25.1	<p>At this time the Procuring Entity <i>[insert “intends” or “does not intend”]</i> to execute certain specific parts of the Works by sub-contractors selected in advance.</p> <p><i>[If the above states “intends” list the specific parts of the works and the respective sub-contractors]N/A</i></p>
ITA 25.2	<p>The parts of the Works for which the Procuring Entity permits Applicants to propose Specialized Subcontractors are designated as follows:</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>For the above-designated parts of the Works that may require Specialized Subcontractors, the relevant qualifications of the proposed Specialized Subcontractors will be added to the qualifications of the Applicant for the purpose of evaluation. <i>N/A</i></p>
ITA 31.1	<p>An Applicant wishes to make a Procurement-related Complaint, the Applicant should submit its complaint in writing (by the quickest means available, that is either by hand delivery or email), to:</p> <p>The Chief Executive Officer Kenya Medical Supplies Authority 13 Commercial Street, Industrial Area P.O B Box 47715-00100 Nairobi, Kenya Email: <a href="mailto:procure@kemsaco.ke">procure@kemsaco.ke</a></p> <p>In summary, at this stage, a Procurement-related Complaint may challenge any of the following:</p> <ul style="list-style-type: none"> <li>➤ the terms of the registration Documents; and</li> <li>➤ the Procuring Entity’s decision not to register an Applicant.</li> </ul>

### **SECTION III - QUALIFICATION CRITERIA AND REQUIREMENTS**

1. This section contains all the methods, criteria, and requirements that the Procuring Entity shall use to evaluate Applications, all in one Form "Eligibility and Qualification Criteria". The information to be provided in relation to each requirement and the definitions of the corresponding terms are included in the Form.
2. This form is generic and refers to goods.

## EVALUATION CRITERIA FOR SUPPLY OF GOODS

### A. PRELIMINARY EVALUATION

Applicants are required to submit the following MANDATORY documents:

NO.	DESCRIPTION	YES/NO
1	Certificate of Registration/Incorporation with Registrar of Companies. <b>(Mandatory)</b> .	
2	Must submit a copy of CR12 for sole proprietor & partnership companies to provide copies of director's id. <b>(Mandatory)</b> .	
3	Valid tax compliance certificate issued by Kenya Revenue Authority (KRA). <b>(Mandatory)</b> .	
4	Application documents must be paginated/serialized. All bidders are required to submit their documents paginated in a continuous ascending order from the first page to the last in this format; (i.e. 1,2,3..... n where n is the last page). <b>(Mandatory)</b> .	
5	Duly completed and signed Application Submission Letter <b>(Mandatory)</b> .	
6	Duly completed and signed Form ELI -1.1 - Applicant Information Form <b>(Mandatory)</b> .	
7	A written power of Attorney declaration form. (The written confirmation of authorization to sign on behalf of the Tenderer) <b>(Mandatory)</b> .	

**NOTE: Only applicants who pass preliminary stage will proceed to the technical evaluation stage.**

### B. TECHNICAL EVALUATION

Applicants are required to submit the following MANDATORY documents:

**CATEGORY: KEMSA-PREQ-A-001-2022/2024: SUPPLY OF OFFICE FURNITURE, FITTINGS AND EQUIPMENT**

NO.	DESCRIPTION	YES/NO
1	Manufacturer's Authorization <b>(Mandatory)</b> .	
2	Attach a relevant copy of previous contract(s) or LPO(s) <b>(Mandatory)</b> .	
3	Attach a copy of reference letters for supplies undertaken <b>(Mandatory)</b> .	

**CATEGORY: KEMSA-PREQ-A-002-2022/2024: SUPPLY OF ICT EQUIPMENT AND CONSUMABLES (PRINTERS, SCANNERS & TONERS)**

NO.	DESCRIPTION	YES/NO
1	Manufacturer's Authorization <b>(Mandatory)</b> .	
2	Attach a relevant copy of previous contract(s) or LPO(s) <b>(Mandatory)</b> .	
3	Attach a copy of reference letters for supplies undertaken <b>(Mandatory)</b> .	

**CATEGORY: KEMSA-PREQ-A-003-2022/2024: SUPPLY AND DELIVERY OF MOTOR VEHICLE TYRES**

NO.	DESCRIPTION	YES/NO
1	Manufacturer's Authorization <b>(Mandatory)</b> .	
2	Dealership Agreement <b>(Mandatory)</b> .	
3	Attach a relevant copy of previous contract(s) or LPO(s) <b>(Mandatory)</b> .	
4	Attach a copy of reference letters for supplies undertaken <b>(Mandatory)</b> .	

**NOTE: Only applicants who pass technical stage will be registered subject to passing the post qualification**

**C. POST QUALIFICATION**

- This will be conducted for successful suppliers



Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
FOR LOT_____ (in case of Multiple contracts)							
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirement
				All Members Combined	Each Member	One Member	
<b>1. Eligibility</b>							
1.1	Nationality	Nationality in accordance with ITA 5.6	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI - 1.1 and 1.2, with attachments
1.2	Conflict of Interest	No conflicts of interest in accordance with ITA 5.7	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Letter
1.3	Eligibility	Not declared ineligible by not meeting any of the conditions in ITA 5 and 6.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Letter
1.4	State-owned Entity in Kenya	Applicant required to meet conditions of ITA 5.9	Must meet requirement	Must meet requirement	Must meet requirement	N / A	Forms ELI - 1.1 and 1.2, with attachments
1.5	United Nations resolution or laws of Kenya	Not having been excluded as a result of prohibition in the laws of Kenya or official regulations against commercial	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI - 1.1 and 1.2, with attachments

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
FOR LOT _____ (in case of Multiple contracts)							
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirement
				All Members Combined	Each Member	One Member	
		relations with Kenya, or by an act of compliance with UN Security Council resolution, both in accordance with ITA 6.1 and 6.2 and Section V.					
<b>2. Historical Contract Non-Performance</b>							
2.1	History of Non-Performing Contracts	Non-performance of a contract <sup>1</sup> did not occur as a result of contractor's default since 1 <sup>st</sup> January [ <i>insert year</i> ].	Must meet requirement <sup>1</sup>	Must meet requirements	Must meet requirement <sup>2</sup>	N/A	Form CON-2
2.2	Suspension Based on Execution of Tender/Proposal Securing Declaration by the Procuring Entity	Not under suspension based on execution of a Tender/Proposal Securing Declaration	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Letter

<sup>1</sup> Nonperformance, as decided by the Procuring Entity, shall include all contracts where (a) nonperformance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Nonperformance shall not include contracts where Procuring Entity's decision was overruled by the dispute resolution mechanism. Nonperformance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

<sup>2</sup> This requirement also applies to contracts executed by the Applicant as JV member.

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
FOR LOT _____ (in case of Multiple contracts)							
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirement
				All Members Combined	Each Member	One Member	
		pursuant to ITA 5.10.					
2.3	Pending Litigation	Applicant's financial position and prospective long-term profitability still sound according to criteria established in 3.1 below and assuming that all pending litigation will be resolved against the Applicant	Must meet requirement	N/A	Must meet requirement	N/A	Form CON - 2
2.4	Litigation History	No consistent history of court/arbitral award decisions against the Applicant <sup>3</sup> since 1 <sup>st</sup> January [ <i>insert year</i> ]	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form CON - 2
<b>3. Financial Situation and Performance</b>							
3.1	Financial Capabilities	(i) The Applicant shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means	Must meet requirement	Must meet requirement	N/A	N/A	Form FIN - 3.1, with attachments

<sup>3</sup> The Applicant shall provide accurate information on the related Application Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of awards against the Applicant or any member of a joint venture may result in rejection of the Application.

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
FOR LOT _____ (in case of Multiple contracts)							
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirement
				All Members Combined	Each Member	One Member	
		(independent of any contractual advance payment) sufficient to meet the construction cash flow requirements estimated as _____ [insert amount in KENYA SHILLINGS] for the subject contract(s) net of the Applicants other commitments	Must meet requirement	Must meet requirement	N/A	N/A	
		(ii) The <b>Applicant</b> shall also demonstrate, to the satisfaction of the Procuring Entity, that it has adequate sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.	Must meet requirement	N/A	Must meet requirement	N/A	
		(iii) The audited balance sheets or, if not required by the laws of Kenya, other financial statements					

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
FOR LOT _____ (in case of Multiple contracts)							
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirement
				All Members Combined	Each Member	One Member	
		acceptable to the Procuring Entity, for the last <i>[insert number]</i> years shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability.					
3.2	Average Annual Construction Turnover	Minimum average annual construction turnover of KENYA SHILLINGS <i>[insert amount in KENYA SHILLING equivalent in words and figures]</i> , calculated as total certified payments received for contracts in progress and/or completed within the last <i>[insert number]</i> years, divided by <i>[insert number of years in words]</i> years	Must meet requirement	Must meet requirement	Must meet <i>[insert number]</i> %, <i>[insert percentage in words]</i> of the requirement	Must meet <i>[insert number]</i> %, <i>[insert percentage in words]</i> of the requirement	Form FIN - 3.2

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
FOR LOT _____ (in case of Multiple contracts)							
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirement
				All Members Combined	Each Member	One Member	
4. Experience							
4.1 (a)	General Construction Experience	Experience under construction contracts in the role of prime contractor, JV member, subcontractor, or management contractor for at least the last [insert number] years, starting 1 <sup>st</sup> January ____ [insert year].	Must meet requirement	N/A	Must meet requirement	N/A	Form EXP - 4.1
4.2 (a)	Specific Construction & Contract Management Experience	(i) A minimum number of [state the number] similar contracts specified below that have been satisfactorily and substantially <sup>4</sup> completed as a prime contractor, joint venture member <sup>5</sup> , management contractor or supplier between 1st January	Must meet requirement	Must meet requirement <sup>6</sup>	N/A	Must meet the following requirements for the key activities listed below [list key activities and the corresponding minimum requirements to be met by one member	Form EXP 4.2(a)

<sup>4</sup> Substantial completion shall be based on 80% or more works completed under the contract.

<sup>5</sup> For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's share, by value, and role and responsibilities shall be considered to meet this requirement.

<sup>6</sup> In the case of JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by each member shall satisfy the minimum value of a single contract as required for single entity. In determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by all members each of value equal or more than the minimum value required shall be aggregated.

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
FOR LOT _____ (in case of Multiple contracts)							
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirement
				All Members Combined	Each Member	One Member	
		<i>[insert year] and Application submission deadline:</i>				<i>otherwise state: "N/A"]</i>	
4.2 (b)		For the above and any other contracts [substantially completed and under implementation] as prime contractor, joint venture member, or sub-contractor between 1st January <i>[insert year]</i> and Application submission deadline, a minimum construction experience in the following key activities successfully completed <sup>7</sup> : <i>[list key activities indicating volume, number or rate of production as applicable.</i>  <i>Under 4.2(a), specified requirements define similarity of contracts, whereas the key activities</i>	Must meet requirements <i>[Specify activities that may be met through a specialized subcontractor, if permitted in accordance with ITA 25.2]</i>	Must meet requirements <i>[Specify activities that may be met through a Specialized Subcontractor, if permitted in accordance with ITA 25.2]</i>	N/A	Must meet the following requirements for key activities listed below <i>[if applicable, out of the key activities in the first column of this 4.2 b), list key activities (volume, number or rate of production as applicable) and the corresponding minimum requirements that have to be met by one member, otherwise this cell should state: "N/A".]</i>	Form EXP – 4.2 (b)

<sup>7</sup> Volume, number or rate of production of any key activity can be demonstrated in one or more contracts combined if executed during same time period.

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
FOR LOT _____ (in case of Multiple contracts)							
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirement
				All Members Combined	Each Member	One Member	
		<i>or production rates to be specified under 4.2 (b) define the required capability of the Applicant to execute the Works. There shall not be any inconsistency or repetition of requirement between 4.2(a) and 4.2(b). For the rate of production, specify that the rate of production shall be on the basis of either the average during the entire specified period OR the rate of annual production in any 12-month period in the specified period,]<sup>8</sup></i>					
4.3 (a)	Specific Management Experience in supply of goods	(i) A minimum number of <i>[state the number]</i> similar contracts specified below that have been satisfactorily and substantially <sup>9</sup> completed as a	Must meet requirement	Must meet requirement <sup>11</sup>	N/A	Must meet the following requirements for the key activities listed below <i>[list key activities and</i>	Form EXP 4.3(a)

<sup>8</sup> The minimum experience requirement for multiple contracts will be the sum of the minimum requirements for respective individual contracts, unless specified otherwise.

<sup>9</sup> Substantial completion shall be based on 80% or more works completed under the contract.

<sup>11</sup> In the case of JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by each member shall satisfy the minimum value of a single contract as required for single entity. In determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by all members each of value equal or more than the minimum value required shall be aggregated.



Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
FOR LOT _____ (in case of Multiple contracts)							
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirement
				All Members Combined	Each Member	One Member	
		<p>prime supplier, joint venture member <sup>10</sup>, management contractor or supplier between 1st January [insert year] and Application submission deadline:</p> <p>The similarity of the contracts shall be based on the following:  <i>[Based on Section VII, Schedule of requirements for goods contracts, specify the minimum key requirements in terms of physical size, complexity, construction method, technology and/or other characteristics including part of the requirements that may be met by Specialized Subcontractors, if permitted in accordance with ITA 25.2]</i></p>				<i>the corresponding minimum requirements to be met by one member otherwise state: "N/A"]</i>	
4.3 (b)		For the above and any other	Must meet requirements	Must meet requirements	N/A	Must meet the	Form EXP - 4.2 (b)

<sup>10</sup> For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's share, by value, and role and responsibilities shall be considered to meet this requirement.

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
FOR LOT _____ (in case of Multiple contracts)							
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirement
				All Members Combined	Each Member	One Member	
		<p>contracts [substantially completed and under implementation] as prime supplier, joint venture member, or sub-contractor between 1st January [insert year] and Application submission deadline, a minimum construction experience in the following key activities successfully completed<sup>12</sup>: [list key activities indicating volume, number or rate of production as applicable.</p> <p><i>Under 4.3(a), specified requirements define similarity of contracts, whereas the key activities or production rates to be specified under 4.2 (b) define the required capability of the Applicant to execute the Works.</i></p>	<p><i>[Specify activities that may be met through a specialized subcontractor, if permitted in accordance with ITA 25.2]</i></p>	<p><i>[Specify activities that may be met through a Specialized Subcontractor, if permitted in accordance with ITA 25.2 ]</i></p>		<p>following requirements for key activities listed below [if applicable, out of the key activities in the first column of this 4.3 b), list key activities (volume, number or rate of production as applicable) and the corresponding minimum requirements that have to be met by one member, otherwise this cell should state: "N/A".]</p>	

<sup>12</sup> Volume, number or rate of production of any key activity can be demonstrated in one or more contracts combined if executed during same time period.

Eligibility and Qualification Criteria			Compliance Requirements			Document/ Form	
FOR LOT _____ (in case of Multiple contracts)							
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirement
				All Members Combined	Each Member	One Member	
		<i>There shall not be any inconsistency or repetition of requirement between 4.3(a) and 4.3(b). For the rate of production, specify that the rate of production shall be on the basis of either the average during the entire specified period OR the rate of annual production in any 12-month period in the specified period,<sup>13</sup></i>					

<sup>13</sup> The minimum experience requirement for multiple contracts will be the sum of the minimum requirements for respective individual contracts, unless specified otherwise.

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KEMSA

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
FOR LOT _____ (in case of Multiple contracts)							
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirement
				All Members Combined	Each Member	One Member	

## SECTION IV- APPLICATION FORMS

### 1. Application Submission Letter

Date: .....[insert day, month, and year]

IFP No. and title: ..... [insert IFP number and title]

To: .....[insert full name of Procuring Entity] We, the undersigned, apply to be registered for the referenced IFP and declare that:

- a) No reservations: We have examined and have no reservations to the Registration Document, including Addendum(s) No(s), issued in accordance with ITA 8: [insert the number and issuing date of each addendum].
- b) No conflict of interest: We have no conflict of interest in accordance with ITA 5.7;
- c) Eligibility: We (and our subcontractors) meet the eligibility requirements as stated ITA 5, we have not been suspended by the Procuring Entity based on execution of a Tender/Proposal-Securing Declaration in accordance with ITA 5.8;

Suspension and Debarment: We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the PPRA. Further, we are not ineligible under the Kenya laws or official regulations or pursuant to a decision of the United Nations Security Council;

State-owned enterprise or institution: *[select the appropriate option and delete the other]* *[We are not a state-owned enterprise or institution]* / *[We are a state-owned enterprise or institution but meet the requirements of ITA5.9];*

- f) Subcontractors and Specialized Subcontractors: We, in accordance with ITA 24.2 and 25.2, plan to subcontract the following key activities and/or parts of the works or supply contracts: ..... *[Insert any of the key activities identified in Section III-4.2 (a) or (b) or 4.3(a) or (b) which the Procuring Entity has permitted under the Registration Document and which the Applicant intends to subcontract along with complete details of the Specialized Subcontractors, their qualification and experience]*
  
- (g) Commissions, gratuities, fees: We declare that the following commissions, gratuities, or fees have been paid or are to be paid with respect to the Registration process, the corresponding Tendering process or execution of the Contract:

<u>Name of Recipient</u>	<u>Address</u>	<u>Reason</u>	<u>Amount</u>
<i>[insert full name for each occurrence]</i>	<i>[insert street/ number/city/country]</i>	<i>[indicate reason]</i>	<i>[specify amount currency, value, exchange rate and KENYA SHILLING equivalent]</i>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

*[If no payments are made or promised, add the following statement: "No commissions or gratuities have been or are to be paid by us to agents or any third party relating to this Application"]*

- (h) Not bound to accept: We understand that you may cancel the Registration process at any time and that you are neither bound to accept any Application that you may receive nor to invite the registered Applicants to Tender for the contract subject of this Registration process, without incurring any liability to the Applicants, in accordance with ITA 26.1.
  
- (i) True and correct: All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief.

Signed.....*[insert signature(s) of an authorized representative(s) of the Applicant]*

Name .....*[insert full name of person signing the Application]*

In the capacity of ..... *[insert capacity of person signing the Application]*

Duly authorized to sign the Application for and on behalf of: Applicant's

Name..... *[insert full name of Applicant or the name of the JV]*

Address ..... *[insert street number/town or city/country address]*

Dated on .....*[insert day number]* day of *[insert month]*, *[insert year]*

*[For a joint venture, either all members shall sign or only the authorized representative, in which case the power of attorney to sign on behalf of all members shall be attached]*

## 2. Form ELI -1.1 - Applicant Information Form

Date: ..... *[insert day, month, year]*

ITT No. and title: ..... *[insert ITT number and title]*

Page.....*[insert page number]* of *[insert total number]* pages

Applicant's name <i>[insert full name]</i>
In case of Joint Venture (JV), name of each member: <i>[insert full name of each member in JV]</i>
Applicant's actual or intended country of registration: <i>[indicate country of Constitution]</i>
Applicant's actual or intended year of incorporation: <i>[indicate year of Constitution]</i>
Applicant's legal address [in country of registration]: <i>[insert street/ number/ town or city/ country]</i>
Applicant's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of <input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 5.6. <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 5.3. <input type="checkbox"/> In case of state-owned enterprise or institution, in accordance with ITA 5.9 documents establishing:

Legal and financial autonomy  
 Operation under commercial law  
 Establishing that the Applicant is not under supervision of the Procuring Entity  
 2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

Signed.....[insert signature(s) of an authorized representative(s) of the Applicant]

Name .....[insert full name of person signing the Application]

In the capacity of ..... [insert capacity of person signing the Application]

### 3. Form ELI-1.2 - Applicant's JV Information Form

[The following form is additional to Form ELI-1.1., and shall be completed to provide information relating to each JV member (incase the Applicant is a JV) as well as any Specialized Supplier proposed to be used by the Applicant for any part of the Contract resulting from this registration]

Date: ..... [insert day, month, year]

ITT No. and title: ..... [insert ITT number and title]

Page.....[insert page number] of [insert total number] pages

Applicant name: [insert full name]
Applicant's JV Member's name: [insert full name of Applicant's JV Member]
Applicant's JV Member's country of registration: [indicate country of registration]
Applicant JV Member's year of constitution: [indicate year of constitution]
Applicant JV Member's legal address in country of constitution: [insert street/ number/ town or city/ country]
Applicant JV Member's authorized representative information Name: [insert full name] Address: [insert street/ number/ town or city/ country] Telephone/Fax numbers: [insert telephone/fax numbers, including country and city codes] E-mail address: [indicate e-mail address]
1. Attached are copies of original documents of <input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 5.6



In case of a state-owned enterprise or institution, documents establishing legal and financial autonomy, operation in accordance with commercial law, and they are not under the supervision of the Procuring Entity, in accordance with ITA 5.9.  
 2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

Signed.....[insert signature(s) of an authorized representative(s) of the Applicant]

Name .....[insert full name of person signing the Application]

In the capacity of ..... [insert capacity of person signing the Application]

**4. Form CON 2 - Historical Contract Non-Performance, and Pending Litigation and Litigation History**

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: [insert full name]

Date: [insert day, month, year]

Joint Venture Member's Name: [insert full name]

ITT No. and title: [insert ITT number and title]

Page [insert page number] of [insert total number] pages

Non-Performed Contracts in accordance with Section III, Qualification Criteria and Requirements			
<input type="checkbox"/> Contract non-performance did not occur since 1 <sup>st</sup> January [insert year] specified in Section III, Qualification Criteria and Requirements, Sub-Factor 2.1.			
<input type="checkbox"/> Contract(s) not performed since 1 <sup>st</sup> January [insert year] specified in Section III, Qualification Criteria and Requirements, requirement 2.1			
Year	Non-performed portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and KENYA SHILLING equivalent)
[insert year]	[insert amount and percentage]	Contract Identification: [indicate complete contract name/ number, and any other identification]	[insert amount]

		Name of Procuring Entity: <i>[insert full name]</i> Address of Procuring Entity: <i>[insert street/city/country]</i> Reason(s) for nonperformance: <i>[indicate main reason(s)]</i>	
Pending Litigation, in accordance with Section III, Qualification Criteria and Requirements			
<input type="checkbox"/> No pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.3. <input type="checkbox"/> Pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.3 as indicated below.			
Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>insert year]</i>	<i>[insert amount]</i>	Contract Identification: <i>[indicate complete contract name, number, and any other identification]</i> Name of Procuring Entity: <i>[insert full name]</i> Address of Procuring Entity: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Procuring Entity" or "Contractor"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>
Litigation History in accordance with Section III, Qualification Criteria and Requirements			
<input type="checkbox"/> No Litigation History in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.4. <input type="checkbox"/> Litigation History in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.4 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)

<i>[insert year]</i>	<i>[insert percentage]</i>	Contract Identification: <i>[indicate complete contract name, number, and any other identification]</i> Name of Procuring Entity: <i>[insert full name]</i> Address of Procuring Entity: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Procuring Entity" or "Contractor"]</i> Reason(s) for Litigation and award decision <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>
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**5. Form FIN – 3.1 - Financial Situation and Performance**

**Financial Situation and Performance**

*[The following table shall be filled in for the Applicant and for each member of a Joint Venture]*

Applicant's Name: ..... *[insert full name]*

Date: ..... *[insert day, month, year]*

Joint Venture Member Name: ..... *[insert full name]*

ITT No. and title: ..... *[insert ITT number and title]*

Page..... *[insert page number]* of *[insert total number]* pages

**5.1 Financial Data**

Type of Financial information in (currency)	Historic information for previous _ <i>[insert number]</i> years, <i>[insert in words]</i> (amount in currency, currency, exchange rate*, USD equivalent)				
	Year 1	Year 2	Year 3	Year4	Year 5
Statement of Financial Position (Information from Balance Sheet)					
Total Assets (TA)					

Total Liabilities (TL)					
Total Equity/Net Worth (NW)					
Current Assets (CA)					
Current Liabilities (CL)					
Working Capital (WC)					
<b>Information from Income Statement</b>					
Total Revenue (TR)					
Profits Before Taxes (PBT)					
<b>Cash Flow Information</b>					
Cash Flow from Operating Activities					

\* Refer ITA 14 for the exchange rate

## 5.2 Sources of Finance

[The following table shall be filled in for the Applicant and all parties combined in case of a Joint Venture]

Specify sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.

No.	Source of finance	Amount (Kenya shilling equivalent)
1		
2		
3		

## 5.3 Financial documents

The Applicant and its parties shall provide copies of financial statements for [number] years pursuant Section III, Qualifications Criteria and Requirements, Sub-factor 3.1. The financial statements shall:

- a) reflect the financial situation of the Applicant or in case of JV member, and not an affiliated entity (such as parent company or group member).
- b) Be independently audited or certified in accordance with local legislation.
- c) Be complete, including all notes to the financial statements.

d) Correspond to accounting periods already completed and audited.

*Attached are copies of financial statements<sup>1</sup> for the [number] years required above; and complying with the requirements*

***1If the most recent set of financial statements is for a period earlier than 12 months from the date of Application, the reason for this should be justified.***

### **6. Form FIN - 3.2 - Average Annual Construction or Supply Contracts Turnover**

*[The following table shall be filled in for the Applicant and for each member of a Joint Venture] Applicant's Name:*

..... *[insert full name]*

Date: ..... *[insert day, month, year]*

Joint Venture Member Name: ..... *[insert full name]*

ITT No. and title: *[insert ITT number and title]*

Page.....*[insert page number]* of .....*[insert total number]* pages Table A (Complete if Contractor)

Annual turnover data (construction only)			
Year	Amount Currency	Exchange rate*	USD equivalent
<i>[indicate calendar year]</i>	<i>[insert amount and indicate currency]</i>		

		Average Annual Construction Turnover **	

\* Refer ITA 14 for date and source of exchange rate.

\*\* Total Kenya shilling equivalent for all years divided by the total number of years. See Section III, Qualification Criteria and Requirements, 3.2.

**Table B (Complete if Supplier)**

Annual turnover data (Supply contracts)			
Year	Amount Currency	Exchange rate*	USD equivalent
<i>[indicate calendar year]</i>	<i>[insert amount and indicate currency]</i>		
		Average Annual Construction Turnover **	

Refer ITA 15 for date and source of exchange rate.

\*\* Total Kenya shilling equivalent for all years divided by the total number of years. See Section III, Qualification Criteria and Requirements, 3.2

**7. Form EXP - 4.1 - General Construction or Supply or service Contract Experience (Select one)**

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]  
 Applicant's Name: *[insert full name]*

Date: ..... *[insert day, month, year]*

Joint Venture Member Name: ..... *[insert full name]*

ITT No. and title: ..... *[insert ITT number and title]*

Page ..... *[insert page number]* of *[insert total number]* pages

[Identify contracts that demonstrate continuous construction work over the past [number] years pursuant to Section III, Qualification Criteria and Requirements, Sub-Factor 4.1. List contracts chronologically, according to their commencement (starting) dates.]

Starting Year	Ending Year	Contract Identification	Role of Applicant
<i>[indicate year]</i>	<i>[indicate year]</i>	Contract name: <i>[insert full name]</i> Brief Description of the Works performed by the Applicant: <i>[describe works performed briefly]</i>	<i>[insert "Prime Contractor" or "JV Member" or "Sub-contractor" or</i>

	<p>Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and KENYA SHILLING equivalent*]</i></p> <p>Name of Procuring Entity: <i>[indicate full name]</i></p> <p>Address: <i>[indicate street/number/town or city/country]</i></p>	"Management Contractor"
	<p>Contract name: <i>[insert full name]</i></p> <p>Brief Description of the Works performed by the Applicant: <i>[describe works performed briefly]</i></p> <p>Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and KENYA SHILLING equivalent*]</i></p> <p>Name of Procuring Entity: <i>[indicate full name]</i></p> <p>Address: <i>[indicate street/number/town or city/country]</i></p>	<i>[insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]</i>
	<p>Contract name: <i>[insert full name]</i></p> <p>Brief Description of the Works performed by the Applicant: <i>[describe works performed briefly]</i></p> <p>Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and Kenya shillings equivalent*]</i></p> <p>Name of Procuring Entity: <i>[indicate full name]</i></p> <p>Address: <i>[indicate street/number/town or city/country]</i></p>	<i>[insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]</i>

\* Refer ITA 15 for date and source of exchange rate.

**8. Form EXP - 4.2(a) - Specific Construction and Contract Management Experience or Supply or service Contract Experience (Select one)**

*[The following table shall be filled in for contracts performed by the Applicant, each member of a Joint Venture, and Specialized Sub-contractors]*

Applicant's Name: ..... *[insert full name]*

Date: ..... *[insert day, month, year]*

Joint Venture Member Name: ..... *[insert full name]*

ITT No. and title: ..... *[insert ITT number and title]*

Page..... *[insert page number]* of..... *[insert total number]* pages

Similar Contract No.	Information
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[insert number] of [insert number of similar contracts required]				
Contract Identification	[insert contract name and number, if applicable]			
Award date	[insert day, month, year, e.g., 15 June, 2015]			
Completion date	[insert day, month, year, e.g., 03 October, 2017]			
Role in Contract [check the appropriate box]	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Sub-contractor <input type="checkbox"/>
Total Contract Amount	[insert total contract amount in local currency]		KENYA SHILLING [insert Exchange rate and total contract amount in KENYA SHILLING equivalent] *	
If member in a JV or sub-contractor, specify share in value in total Contract amount and roles and responsibilities	[insert a percentage amount]	[insert total contract amount in local currency]	[insert exchange rate and total contract amount in KENYA SHILLING equivalent] *	
	[insert roles and responsibilities]			
Procuring Entity's Name:	[insert full name]			
Address:	[indicate street / number / town or city / country]			
Telephone/fax number	[insert telephone/fax numbers, including country and city area codes]			
E-mail:	[insert e-mail address, if available]			

### 9. Form EXP - 4.2(a) (cont.) - Specific Construction and/or Contract Management Experience (cont.)

Similar Contract No. [insert number] of [insert number of similar contracts required]	Information
Description of the similarity in accordance with Sub-Factor 4.2(a) of Section III:	
1. Amount	[insert amount in local currency, exchange rate, KENYA SHILLING in words and in Figures]
2. Physical size of required works items	[insert physical size of items]
3. Complexity	[insert description of complexity]
4. Methods/Technology	[insert specific aspects of the methods/technology involved in the contract]
5. Construction rate for key activities	[insert rates and items]

6. Other Characteristics	<i>[insert other characteristics as described in Section VII, Scope of Works]</i>
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**10. Form EXP - 4.2(b) - Construction Experience or Supply or service contract in Key Activities (select one)**

Applicant's Name: ..... *[insert full name]*

Date: ..... *[insert day, month, year]*

Applicant's JV Member's Name: ..... *[insert full name]*

Sub-contractor's Name..... (as per ITA 24.2 and 24.3): *[insert full name]*

ITT No. and title: ..... *[insert ITT number and title]* Page.....*[insert page number]* of..... *[insert total number]* pages

All Sub-contractors for key activities must complete the information in this form as per ITA 24.2 and 24.3 and Section III, Qualification Criteria and Requirements, 4.2.

1. Key Activity No. One: *[insert brief description of the Activity, emphasizing its specificity]* Total Quantity of Activity under the contract: \_\_\_\_\_

Information
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Contract Identification	<i>[insert contract name and number, if applicable]</i>			
Award date	<i>[insert day, month, year, e.g., 15 June, 2015]</i>			
Completion date	<i>[insert day, month, year, e.g., 03 October, 2017]</i>			
Role in Contract <i>[check the appropriate box]</i>	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Sub-contractor <input type="checkbox"/>
Total Contract Amount	<i>[insert total contract amount in contract currency(ies)]</i>		KENYA SHILLING <i>[insert exchange rate and total contract amount in KENYA SHILLING equivalent]</i>	
Quantity (Volume, number or rate of production, as applicable) performed under the contract per year or part of the year <i>[Insert extent of participation indicating actual quantity of key activity successfully completed in the role performed]</i>	Total quantity in the contract (i)	Percentage participation (ii)	Actual Quantity Performed (i) x (ii)	
Year 1				
Year 2				
Year 3				
Year 4				
Procuring Entity's Name:	<i>[insert full name]</i>			
Address: Telephone/fax number E-mail:	<i>[indicate street / number / town or city / country]</i> <i>[insert telephone/fax numbers, including country and city area codes]</i> <i>[insert e-mail address, if available]</i>			

1. Activity No. Two 3.

.....

	<b>Information</b>
Description of the key activities in accordance with Sub-Factor 4.2(b) of Section III:	
	<i>[insert response to inquiry indicated in left column]</i>


KEMSA

## **PART 2 - GOODS REQUIREMENTS**

### **SECTION V -SCHEDULE OF REQUIREMENTS FOR GOODS-N/A**

- 1. Description of the Goods.**
- 2. Goods Supply Period.**
- 3. Other Data.**

**REQUEST FOR REVIEW**

**FORM FOR REVIEW (r.203 (1))**

**PUBLIC PROCUREMENT ADMINISTRATIVE REVIEW BOARD**

**APPLICATION NO.....OF.....20.....**

**BETWEEN**

**.....APPLICANT**

AND

.....RESPONDENT (Procuring Entity)

Request for review of the decision of the..... (Name of the Procuring Entity of .....dated the...day of .....20.....in the matter of Tender No.....of .....20..... for ..... (Tender description).

**REQUEST FOR REVIEW**

I/We.....,the above named Applicant(s), of address: Physical address.....P. O. Box No..... Tel. No.....Email ....., hereby request the Public Procurement Administrative Review Board to review the whole/part of the above mentioned decision on the following grounds , namely:

- 1.
- 2.

By this memorandum, the Applicant requests the Board for an order/orders that:

- 1.
- 2.

SIGNED ..... (Applicant) Dated on.....day of ...../ ...20.....

FOR OFFICIAL USE ONLY Lodged with the Secretary Public Procurement Administrative Review Board on.....day of .....20.....

**SIGNED**

**Board Secretary**